

Higher Education Emergency Relief Fund (HEERF) Reporting

Quarter ended September 30, 2021

Restated as of May 6, 2022.

The Department of Education directs each institution receiving Higher Education Emergency Relief Funds (HEERF) that are to be used for Emergency Financial Aid Grants to students to comply with Section 18004(e) of the Coronavirus Aid, Relief and Economic Security (CARES) Act and submit an initial report to the Secretary thirty (30) days from the date when the institution received its allocation under 18004(a)(1) and updated every 45 days thereafter. This was later amended to be updated every calendar quarter end. The Department of Education also requires each institution receiving an Institutional Portion and Minority Serving Institution Portion of HEERF funds to provide quarterly reporting on the use of such funds on a quarterly basis in accordance with Sections 15011(b)(2) and 18004(c) of the CARES Act. The reporting requirement for the Institutional Portion and Minority Serving Institution Portion is filed separately from this report.

The University received its allocation for Emergency Financial Aid Grants on April 24, 2020 and made a report on May 20, 2020, June 30, 2020, August 14, 2020, September 18, 2020, September 30, 2020, December 31, 2020, March 31, 2021 and June 30, 2021. This report is being made as of September 30, 2021 and covers the University's reporting of its utilization of the Emergency Financial Aid Grants to students during the reporting period ended September 30, 2021.

Each HEERF participating institution must post the information listed below on the institution's primary website. Accordingly, the University is providing this information to the NLU community in compliance with this directive.

Required Communication Related to Emergency Financial Aid Grants to Students

- 1. An acknowledgement that the institution signed and returned to the Department the Certification and Agreement and the assurance that the institution has used, or intends to use, no less than 50 percent of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.**

National Louis University acknowledges the institution signed and returned to the Department of Education the Certification and Agreement for the Student Portion of funds on April 9, 2020. The University signed and returned to the Department of Education the Certification and Agreement for the Institutional Portion of funds

on April 23, 2020. The University intends to use no less than 50 percent of the funds received under Section 18004(a)(1) of the CARES Act to provide Emergency Financial Aid Grants to students.

2. The total amount of funds that the institution will receive or has received from the Department pursuant to the institution's Certification and Agreement [for] Emergency Financial Aid Grants to Students.

The University was originally allocated \$1,517,049 for Emergency Financial Aid Grants to Students. Under HEERF II and HEERF III, an additional allocation of \$1,517,049 and \$5,899,790, respectively, was issued to the University for a total of \$8,933,888. During the reporting period ended September 30, 2021, \$359,000 has been received.

3. The total amount of Emergency Financial Aid Grants distributed to students under Section 18004(a)(1) of the CARES Act as of the date of submission (i.e., as of the calendar quarter end).

During the reporting period ended September 30, 2021, the University has distributed \$362,500 in Emergency Financial Aid Grants to students under Section 18004(a)(1) of the CARES Act.

4. The estimated total number of students at the institution eligible to participate in programs under Section 484 in Title IV of the Higher Education Act of 1965 and thus eligible to receive Emergency Financial Aid Grants to students under Section 18004(a)(1) of the CARES Act.

As of March 19, 2021, the U.S. Department of Education released a bulletin providing additional guidance under the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) originally issued January 14, 2021. The release of the bulletin waived the requirement of students being a U.S. Citizen to expand eligibility to international and undocumented students with an exceptional need. The guidance in the bulletin was implemented by National Louis University as of April 19, 2021 for the Spring term 2021. Under the initial release of CRRSAA in January 2021, students who are exclusively enrolled in distance education are now eligible to receive funds. The number of students eligible to receive Emergency Financial Aid Grants under the updated Section 18004(a)(1) is 4,577.

5. The total number of students who have received an Emergency Financial Aid Grant to students under Section 18004(a)(1) of the CARES Act.

During the reporting period ended September 30, 2021, the total number of students who have received an Emergency Financial Aid Grant under Section 18004(a)(1) since its inception of April 9, 2020 is 725.

6. The method(s) used by the institution to determine which students receive Emergency Financial Aid Grants and how much they would receive under Section 18004(a)(1) of the CARES Act.

To ensure the University distributes Emergency Financial Aid Grants to students who incurred expenses related to the disruption of campus operations due to the coronavirus, such as food, housing, course materials, technology, health care and child-care expenses, the University developed an application process through which students could apply for such funding. On this grant application, students are asked to provide information about their financial need because of the coronavirus. The University determined that an application process was better to capture the students to which grants would be provided as this would help ensure that students with need resulting from the coronavirus would receive monies as opposed to providing monies to all students, some of who might not have need.

A University committee was formed to review submitted applications to first determine if the student met the eligibility for aid under the CARES act criteria incurred expenses as a because of the disruption of campus operations due to the coronavirus. If the student meets all these criteria, they receive an Emergency Financial Aid Grant for \$500 for each term for which they applied for the grant. Students receive the Emergency Financial Aid Grants by paper check, ACH or a student can elect to have the full \$500 award applied against the student's account receivable balance. As funding permits, the University will continue to make the funds available to applicants in the upcoming spring and summer terms.

7. Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.

The University posted a general announcement regarding Emergency Financial Aid Grants on the COVID-19 section of its website on April 6, 2020 for the Spring term 2020, July 13, 2020 for the Summer term 2020, October 1, 2020 for the Fall term 2020, January 22, 2021 for the Winter term 2021, April 19, 2021 for the Spring term 2021 and July 19, 2021 for the Summer term 2021. A link to this announcement can be found at www.nl.edu/alert. Students were also made aware of these funds and the application process through various methods, including direct e-mails, references to such in e-mail correspondences and in communications by student counselors, advisors and coaches.

Emails are sent to all students who receive an Emergency Financial Aid Grant. The text of this email can be found below. Grant recipients are provided notification that the grant was funded by the CARES Act as well as the

requirement of the student to use the funds on expenses related to the disruption of the University campus operations due to the coronavirus. The notice to the students also indicated that by accepting these funds, they agree to spend the monies for the purposes indicated on their application.

Text of email to recipients of Emergency Financial Aid Grants

<Date>

Dear <Student>

Sent electronically to <Student's E-mail Address.

Dear <Student>,

On behalf of the Student Emergency Fund Committee, I am pleased to inform you that your request from the NLU Emergency Fund has been granted in the amount of \$500.

The funds that you will be receiving were part of the monies the University received from the United States Treasury in connection with the Coronavirus Aid, Relief, and Economic Security (CARES) Act. These funds are to be spent on expenses related to the disruption of the University campus operations due to coronavirus, such as food, housing, course materials technology, health care and child-care expenses. By accepting these funds, you agree to spend the monies for this purpose. If you have not used the funds you received toward any of the expenses listed above, please let us know.

National Louis will be issuing these funds to you via ACH if you are set up with direct deposit with Heartland-ECSI or, if you are not, you will be receiving a paper check in the mail sent to the address that we have on file for you.

If you have questions, please email bcallahan3@nl.edu.

Sincerely,

Brigid Callahan
Executive Director of Student Financial Services